



14th October, 2019

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| 1. The Dy. General Manager
Corporate Relationship Dept.
BSE Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street
<u>MUMBAI - 400 001</u>
Scrip Code No: 532301 | 2. The Secretary
National Stock Exchange of India Ltd
Exchange Plaza, 5 th Floor
Plot No.C/1, G.Block
Bandra-Kurla Complex, Bandra (E)
<u>MUMBAI – 400 051</u>
Scrip symbol: TATACOFFEE |
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Dear Sir,

Re: Submission of Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the half-year ended 30th September, 2019

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 we are forwarding herewith a Certificate of Practicing Company Secretary for the half-year ended 30th September, 2019.

Thanking you,

For Tata Coffee Limited

Anantha Murthy N

Head – Legal & Company Secretary

Encl: as above

TATA COFFEE LIMITED

57 Railway Parallel Road, Kumara Park West Bengaluru 560 020
Tel: 91 80 23560695 - 97 23561976 - 81 Fax: 91 80 23341843
Registered Office: Pollibetta 571 215 South Kodagu Karnataka India
Corporate Identity Number (CIN) -L01131KA1943PLC000833
Website address - www.tatacoffee.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2019

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to TATA COFFEE LIMITED (the Company) maintained by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 01st April, 2019 and re-lodged thereafter, for transfer of equity shares of the company for the period from 01st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

A) 1.a. the Company has delivered during half year ended on 30th September, 2019 share certificates relating to the transfer deeds lodged prior to 01st April, 2019 and re-lodged thereafter, received during the period from 01st April, 2019 to 30th September, 2019, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds.

1.b. the Company has delivered during half year ended on 30th September, 2019 share certificates in respect of requests relating to sub-division (split) received during the period from 01st April, 2019 to 30th September, 2019, within thirty days, from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests for renewal, consolidation and exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: ---

Place: Mumbai
Date: October 10, 2019



For Parikh & Associates

P. N. Parikh

FCS: 327 CP: 1228

UDIN: F000327A000040895